

12-31-01


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as enclosed herein are being deposited with the United States Postal Service on December 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EV053658457US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. LAM2P310

First Named Inventor: PHAM, Xuyen

  
Diane Schwanbeck

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:  
**Xuyen Pham**

For: POROUS MATERIAL AIR BEARING PLATEN FOR CHEMICAL MECHANICAL PLANARIZATION

Application Elements:

- ☒ 22 Pages of Specification, Claims and Abstract
- ☒ 06 Sheets of Drawings (Informal)
- ☒ 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Request and Certification under 35 U.S.C. § 122(b)(2)(b)(i)
- ☐ Small Entity Statement(s)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$370	\$	OR	\$740	\$740
TOTAL CLAIMS	20	-20 = 00	x09=	\$	OR	x18 =	\$
INDEP CLAIMS	03	-03 = 00	x42 =	\$	OR	x84 =	\$
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$740.00</b>

☒ Check No. 6259 in the amount of \$780.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P310).

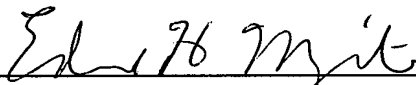
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicant hereby makes and generally authorizes any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P310).

☒ Please send correspondence to the following address:

**Edmund H. Mizumoto, Esq.**  
**MARTINE & PENILLA, LLP**  
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Sunnyvale, CA 94085  
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**Customer No. 25920**

Date: December 21, 2001

  
\_\_\_\_\_  
Edmund H. Mizumoto, Esq.  
Registration No. 46,938

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
Xuyen PHAM et al.	)	Examiner: Unassigned
Application No. [To be assigned]	)	Art Unit: Unassigned
Filed: December 21, 2001	)	Docket No. LAM2P310
For: POROUS MATERIAL AIR BEARING	)	Date: December 21, 2001
PLATEN FOR CHEMICAL	)	
MECHANICAL PLANARIZATION	)	

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Signed:

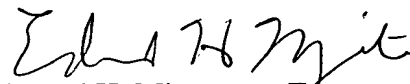
  
 Diane Schwanbeck
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
 Edmund H. Mizumoto, Esq.  
Reg. No. 46,938

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